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November 9, 2020

The Honorable Marco M. Rajkovich, Jr.
Chairman
The Federal Mine Safety and Health Review Commission
1331 Pennsylvania Avenue, NW,
Suite 520N
Washington, D.C. 20004

Dear Chairman Rajkovich:

With the upcoming transition of presidential administrations, the House Committee on Education and Labor (Committee) respectfully reminds you of the critical importance of records management and preservation under the Federal Records Act (FRA). As you are aware, the FRA requires all departments and agencies in the Executive Branch to collect, retain, and preserve records that document “the transaction of public business” or contain “informational value”.¹ This information sharing and records management is important to ensuring that the President-elect is prepared to lead the country immediately upon assuming office.

The FRA requires the head of each Federal agency to “make and preserve records containing adequate and proper documentation of the organization, functions, policies, decisions, procedures, and essential transactions of the agency...”.² These protections extend to all types of information, whether stored physically, digitally, or electronically.³ Further, these requirements apply to all subagencies, offices, departments, and other organizational components under the Federal Mine Safety and Health Review Commission’s (Commission’s) purview, as well as to all Commission personnel.

Federal officials and employees who violate the FRA are subject to criminal penalties. Any agency employee who “willfully and unlawfully” conceals, removes, mutilates, obliterates, destroys, or attempts any such action against a federal record, is subject to fine and/or

¹ 44 U.S.C. § 3301(a)(1)(A).

² 44 U.S.C. § 3101.

³ See 44 U.S.C. § 3301(a)(2).

The Honorable Marco M. Rajkovich, Jr.

November 9, 2020

Page 2

imprisonment for up to three years.⁴ In addition, any federal office holder convicted of violating the FRA can lose his/her position and can be disqualified from holding future federal office.⁵ Moreover, as the head of an agency governed by the FRA, you have a responsibility to notify the Archivist of any actual, impending, or threatened unlawful action against records at your agency.⁶

The Committee seeks assurances, in writing, that records, documents, data, or information have not been and will not be destroyed, modified, removed, transferred, or otherwise rendered inaccessible during the transition. Further, the Committee requests that the Commission provide FRA compliance guidance to all politically appointed officials to ensure they observe appropriate record keeping practices.

Since the FRA's enactment in 1950, there have been a dozen presidential transitions, and it is the Committee's expectation that your agency will fully comply with the requirements of the FRA to ensure a smooth transition of agency operations.

The Committee appreciates your cooperation and attention to this matter.

Sincerely,



ROBERT C. "BOBBY" SCOTT
Chairman

Cc: The Honorable Virginia Foxx, Ranking Member, Committee on Education and Labor

⁴ See 18 U.S.C. § 2071(b).

⁵ *Id.*

⁶ See 44 U.S.C. § 3106(b).